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| New NPTC_0**Technical Evaluation Record** | | | | | | | | | | | | |
| **QUALIFICATION** | Utility Arboriculture Surveyor, Practices & Principles | | | | **Qualification Code:** | | | | | AUA10 | | |
| **Units:** | | | | | UA5.1 & 5.2 | | |
| **Assessor Name:** |  | | | | **Technical Verifier Name:** | | | | |  | | |
| **Assessor No:** |  | | | | **Technical Verifier No:** | | | | |  | | |
| **Start Time:** |  | | | | **Location:** | | | | |  | | |
| **End Time:** |  | | | | **Invoice To: (Include Centre name if applicable)** | | | | |  | | |
| **CRITERIA:**  **(See guidance notes on next sheet)** | | | | | **PERFORMANCE EVALUATION (Circle):** | | | | | **COMMENTS:** | | |
| Knowledge relevant legislation | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Risk Assessment, legal and environmental considerations | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Risk Assessments :generic, site specific and electrical | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Knowledge and experience of professional work environment  On Utility Arb sites within DNO or contractual. | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Knowledge of utility pruning methods and justification of restricted cuts | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Knowledge of tree categorization and permitted procedures including use of designated observer | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Understanding of electrical maps and straight-line diagrams | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Knowledge of utility surveying legislation and good practice | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Knowledge of survey and permission requirements | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Produce a line span survey, | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| Work instructions, prepare paperwork to a clear, unambiguous and measurable standard. | | | | | 1 | 2 | 3 | 4 | 5 |  | | |
| **PERFORMANCE EVALUATION COLUMN TOTALS:** | | | | |  |  |  |  |  | **= TOTAL SCORE:** | |  |
| **Result of Technical Evaluation (tick):** | | **PASS** | **TOTAL SCORE REQUIRED TO ACHIEVE ASSESSOR STATUS:**  **(NB. ACHIEVED IN PERFORMANCE EVALUATION COLUMNS 4 & 5 ONLY)** | | | | | | | | | **44** |
| **FAIL** |
| **TECHNICAL VERIFIER COMMENTS**  ***Please continue on reverse if necessary*** | | | | | | | | | | | | |
| **ASSESSOR COMMENTS:**  ***Please continue on reverse if necessary*** | | | | | | | | | | | | |
| **AGREED ACTION PLAN** | | | | | | | | | | | | |
| **TECHNICAL VERIFIER SIGNATURE:** | | | |  | | | | | | **DATE:** |  | |
| **ASSESSOR SIGNATURE:** | | | |  | | | | | |

**Guidance**

The following examples are intended to provide guidance only; they are not an exhaustive list of requirements for technical evaluation, but are designed to highlight the level of knowledge expected for particular topics.

Knowledge of the working environment must also be demonstrated. This should cover a range of utility sites.

### Legislation and environmental considerations

The person being evaluated should have a working knowledge of the following:

* Health and Safety at Work etc. Act 1974 (HASAWA)
* Management of Health and Safety at Work Regulations 1999 (MHSWR)
* The Electricity Safety, Quality and Continuity Regulations 2002 and 2006 amended
* Electricity at Work Regulations 1989
* Personal Protective Equipment at Work Regulations 1992 (PPE Regulations)
* Manual Handling Operations Regulations 1992
* Control Of Substances Hazardous to Health Regulations (COSHH)
* The Health and Safety (First-Aid) Regulations 1981
* Reporting of Injuries Diseases Dangerous Occurrence Regulations (RIDDOR)
* Work at Height Regulations 2005
* ENA-TS 43-8
* ENA Electrical Technical Reports(ETR) 132 and 136
* HSE Guidance notes GS6 and HSG47 and HSG 85
* Electricity Supply Industry Safety Rules
* Electricity Supply Industry Engineering Recommendation GS55/3 and BS EN 50110-1
* New Roads and Street Works Act (NRSWA)
* Provision and use of Work Equipment Regulations 1998 (PUWER)
* Lifting Operations and Lifting Equipment Regulations 1998 (LOLER)
* Wildlife and Countryside Act
* Wildlife and Countryside (Amendment) Act 1991
* Countryside and Rights of Way Act 2000
* Conservation of Habitats and Species Regulations 2010
* Natural Environment and Rural Communities Act 2006
* Forestry Act 1967
* European Protected Species Directive 2007

Knowledge must be demonstrated of Risk Assessment, First Aid, PPE, and PUWER regulation 9. This should also include detailed knowledge of PPE and DNO safety Rules applicable to Arboriculture operations in vicinity of Electricity Distribution Networks.

Familiarity of arboriculture pruning standards e.g. BS3998.

Familiarity with environmental legislation e.g. Countryside and Wildlife Act, Countryside Rights of Way.

### Accurate assessment of site

* Applicants will be required to carry out a site specific and electrical risk assessment in vicinity of an overhead line (can be a simulated line).

**Applicant will be expected to demonstrate knowledge**

**relating to:**

* Legislation and good practice
* Survey and permission
* Wildlife and environment
* Use of electrical maps and straight line diagrams

**Practical demonstration:**

Applicants will need to be able to

* Produce an accurate line span survey
* Accurately identify tree species and electrical classification
* Issue clear work instructions via paperwork to a clear, unambiguous and measurable standard.