# CITY & GUILDS NPTC LEVEL 3 AWARD IN AERIAL TREE PRUNING QAN 600/6431/6



## **QUALIFICATION GUIDANCE**

# **Independently Assessed**

### **Essential Qualification Information**

# Not to be used by the Candidate during Assessment

You will require some of this information to accurately complete the Record of Assessment (ROA)

Qualification Group No	0 0 2 1	Forestry & Arboriculture Level 3
Qualification Programme No	0 0 2 1 - 1 3	Award In Aerial Tree Pruning
Unit(s)	3 0 7	Carry out aerial pruning of a tree
Guided Learning Hours (GLH)	3 0 7	GLH 19 (Credit Value 3)
Total Qualification Time (TQT)		30 Hours
Recommended Assessment Duration		3.5 – 4.5 hours per Candidate
Pre-Requisite Units	2 0 1	Carry out maintenance of chainsaw and cutting system Cross-cut timber using a chainsaw
	2 0 3	Fell and process trees up to 380mm
	2     0     6       3     0     6	Access a tree using a rope and harness Carry out aerial rescue operations
	3 0 8	Carry out aerial cutting of trees with a chainsaw using free-fall techniques

Version and date	Change detail	Section
1.2 November 2017	Added TQT details Deleted QCF / Learning Time	Qualification at a glance, Structure  Throughout

# City and Guilds NPTC Level 3 Award In Aerial Tree Pruning Qualification Guidance

#### Introduction

The scheme will be administered by City & Guilds

City & Guilds will:

Publish

- Scheme regulations
- Qualification guidance
- Training material
- Trainers support material

Approve centres to co-ordinate and administer the scheme Set standards for the training of verifiers and assessors Recruit, train and deploy verifiers Manage verification Issue certificates to successful Candidates

#### The Qualification

The qualification will be awarded to candidates who achieve the required level of competence in the units to which their certificate relates.

#### Instruction

Attendance at a course of instruction is not a pre-requisite for an application for an assessment but potential Candidates are strongly advised to ensure that they are up to the standards that will be expected of them when they are assessed.

#### **Total Qualification Time**

Total Qualification Time (TQT) is the total amount of time, in hours, expected to be spent by a Learner to achieve a qualification. It includes both guided learning hours (which are listed separately) and hours spent in preparation, study and assessment.

#### Access to Assessment

Assessment centres will be responsible for arranging assessment on behalf of the Candidate.

The minimum age limit for Candidates taking Certificates of Competence is 16 years. There is no upper age limit.

The assessment is **one** Mandatory unit:

Unit 307

Carry out aerial pruning of a tree

Outcomes

- 1. Be able to promote health and safety and industry good practice (1) (Criteria 1.1 1.5)
- 2. Be able to carry out aerial pruning of a tree (2) (Criteria 2.1 2.8)
- 3. Understand relevant health and safety legislation and industry good practice (3) (Criteria 3.1 3.6)
- 4. Understand how to carry out aerial pruning of a tree (4) (Criteria 4.1 4.9)

Candidates must successfully achieve all assessment activities in the above unit.

#### **Quality Assurance**

Verification is a process of monitoring assessment; it is an essential check to confirm that the assessment procedures are being carried out in the way City & Guilds has laid down. The overall aim of verification is to establish a system of quality assurance that is acceptable in terms of both credibility and cost effectiveness.

Approved Assessors will be subject to a regular visit by the verifier at a time when assessments are being undertaken.

A selection of assessment reports completed by the Assessor will be evaluated by a City & Guilds approved verifier.

Compliance with the verification requirements is a pre-requisite for Assessors remaining on the list of approved Assessors.

After assessment has been completed the Qualification Guidance is to be forwarded to the centre and retained by the centre until after the annual centre visit has taken place by a Quality Systems Consultant (QSC).

#### Performance Evaluation

The result of each assessment activity is evaluated against the following criteria:

M = Met Meets or exceeds the assessment criteria by displaying a level of practical performance and/or underpinning knowledge.
If the Criterion has been MET, a tick ☑ is to be put in the box provided in the bottom right-hand column of each section.

NM = Not Met

Does not satisfy the requirements of the assessment criteria, being unable to perform the practical task satisfactorily or safely or being deficient in underpinning knowledge. If the Criterion is NOT MET, a cross  $\boxtimes$  is to be put in the box provided in the bottom right-hand column of each section.

#### Appeals and Equal opportunities

Centres must have their own auditable, appeals procedures. If a Candidate is not satisfied with the examination conditions or a Candidate feels the opportunity for examination is being denied, the Centre Manager should, in the first instance, address the problem. If, however the problem cannot be resolved, City & Guilds will arbitrate and an external verifier may be approached to offer independent advice. All appeals must be clearly documented by the Centre Manager and made available to the external verifier or City & Guilds if advice is required.

Should occasions arise when centres are not satisfied with any aspect of the external verification process, they should contact Verification Services at City & Guilds.

Access to the qualification is open to all, irrespective of gender, race, creed, age or special needs. Subject to H&S restrictions the Centre Manager should ensure that no learner is subjected to unfair discrimination on any grounds in relation to access to assessment and to the fairness of the assessment. QCA requires City & Guilds to monitor centres to check whether equal opportunities policies are being adhered to.

#### Validation of Equipment

A Manufacturer's instruction book or other operator's manual should be available for the Candidate to use during the assessment if required.

All equipment being used for this assessment must comply with the relevant requirements of the Provision and Use of Work Equipment Regulations (PUWER) 1998.

Vehicles must comply with department of Transport and road Traffic acts where relevant.

Any appropriate item of machinery complying with current legal requirements is acceptable for the assessment, provided it is suitably equipped for all assessment activities to be carried out.

#### Safe Practice

#### Appropriate Personal Protective Equipment (PPE) must be worn at all times.

The Assessor must ensure that a site specific risk assessment is carried out.

All equipment must be operated in such a way that the Candidate, Assessor, other persons, or other equipment are not endangered.

All ancillary equipment, when detached, must be safely parked.

Failure to operate safely and comply with these requirements will result in the Candidate not meeting the required standard.

Warning signs stating that an assessment is in progress should be available.

The Assessor may stop the assessment on the grounds of safety at any time at his/her discretion.

Before any assessments take place, Assessor & Candidate should to be aware of any local or national issues to prevent breach of security, safety and any cross contamination or damage to the local environment.

A breach of Health and Safety that puts any person at risk during the assessment process will result in the assessment being terminated and the Candidate not meeting the required standard.

#### **Additional Information**

May be sought from the relevant manufacturer's operator manuals or any other appropriate training or safety publication.

Questions should be related to the background or employment aspirations of the candidate.

Candidates who undertake this assessment and have met the requirements are reminded of their legal obligation to receive/undertake appropriate additional training in the use of any equipment that differs from that used during the assessment, but which they are nevertheless qualified to use.

#### **Assessment Guidance for the Assessor**

This qualification can only be assessed by an Assessor who is suitably qualified and meets the requirements of the awarding body. The Assessor must be independent **and cannot have been involved with the training of the Candidate**. Please see City & Guilds Centre Manual for guidance.

The Candidate is to be notified of the place and time of assessment and when formal assessment commences and ceases.

Assessors are reminded that assessment is a formal process and that assessment must be carried out using this Qualification Guidance. All relevant assessment criteria must be assessed against the criterion as specified in the Qualification Guidance. Assessment will be carried out by direct observation and by oral questioning of the Candidate. Where a specific number of responses are required theses may include other suitable answers not specified if they are deemed to be correct by the Assessor. The performance of the Candidate is to be recorded on the Qualification Guidance as directed by completing the tick boxes. Space has been provided on the Qualification Guidance for the person assessing to record relevant information which can be utilised to provide feedback to the Candidate. After assessment has been completed the Qualification Guidance document is to be retained by the assessor and provided if required by a Quality Systems consultant (QSC).

#### Assessment Guidance for Candidate

A list of registered assessment centres is available from City & Guilds NPTC. (www.nptc.org.uk)

Assessment is a process by which it is confirmed that the candidate is competent in the unit(s) within the award to which the assessment relates. It is the process of collecting evidence about his/her capabilities and judging whether that evidence is sufficient to attribute competence.

The Candidate must be registered through the City & Guilds approved assessment centre for this qualification prior to the assessment.

The results of the assessment will be recorded on the Record of Assessment form (ROA).

The qualification guidance contains criteria relating to:

- Observation of practical performance
- Assessment of underpinning knowledge

#### Assessment and Site Requirements

crown reduction and crown thinning on a tree above 380mm diameter

#### **Chainsaw Safe Practice**

At all times during the assessment, equipment must be used in accordance with industry good practice, whatever the task being carried out.

- Assessors must hold a current 'First Aid at Work' Certificate.
- All chainsaws used in assessments must comply with relevant Arboriculture and Forestry Advisory Group (AFAG) guidance and HSE 2 Chainsaws at Work INDG317(rev1), in terms of safety features, and be a model and size suited to the task(s) required.
- 4 Recommended guide bar lengths should be observed, although variations may be accepted at the discretion of the assessor where this is appropriate to the task.
- Candidates should be familiar with the machinery, equipment and tools that they are going to use. 5
- During chainsaw based assessments a spare working chainsaw must be available.
- Appropriate Personal Protective Equipment (PPE) must be worn at all times by both the candidate and the assessor. All PPE used must 7 comply with relevant AFAG guidance, industry good practice, Health and Safety Executive publications and current legal requirements in terms of specification and use.
- 8. A First Aid kit meeting current regulations, of the appropriate size for the number of persons on site, must be available, along with appropriate fire fighting and suitable welfare facilities e.g. hand cleansing wipes.
- The use of personal first aid kits must be line with current industry good practice. q
- The assessor must ensure a site specific risk assessment has been carried out, sufficient control measures implemented and appropriate 10. emergency procedures recorded. All recorded risk assessment information should be clearly legible and accessible to candidates and completed for all locations where assessment activities are scheduled to take place.
- Manual handling techniques must comply with current legislation and industry good practice. 11.
- 12. Any necessary permission must have been granted, and notifications made as appropriate.
- All equipment being used for this assessment must comply with relevant legislative requirements. 13.
- Information may be sought from the relevant operator manuals or any other appropriate training or safety publication. 14
- 15. The current regulations for transport, handling and storage of fuel and oils must be complied with.
- Provision must be made to avoid the risk of environmental pollution. 16.
- 17. It is the responsibility of the assessor and the candidate to ensure that any additional requirements and provisions are met as relevant to this qualification.
- 18. At all times during the assessment, candidates must act in a way so as not to endanger themselves, the assessor or any other person or equipment. Work must be carried out to achieve the requirements of the assessment criteria in accordance with all relevant and current legislation and good practice guidance.
- 19. If required, relevant records must be accurately kept.
- Appropriate steps should be taken to maintain effective teamwork in respect of other persons on site during the assessment. 20.
- Any appropriate item of machinery complying with current legal requirements is acceptable for the assessment, provided it is suitably 21 equipped for all assessment activities to be carried out.
- 22 All equipment being used for this assessment must comply with the relevant requirements of the Provision and Use of Work Equipment Regulations (PUWER) 1998.
- 23. A breach of Health and Safety that puts any person at risk during the assessment process will result in the assessment being terminated and the Candidate not meeting the required standard.

This may include taking steps to ensure effective communication and safety precautions.

Published by City & Guilds **Building 500** Abbey Park Stareton Warwickshire CV8 2LY

T +44 (0)24 7685 7300 F +44 (0)24 7669 6128 www.nptc.org.uk

e-mail: information@cityandguilds.com

Candidate	A Name:		Date:		Start Time:	Dura	ition	1:		
Candidate	B Name:		Date:		Start Time:	Dura	ition	1:		
Candidate	C Name:		Date:		Start Time:	Dura	ition	:		
Candidate	D Name:		Date:		Start Time:	Dura	ition	:		
CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE		ASSESSMENT ACTIVITIES				ANDI B	IDAT C	ΓE
_	Explain the importance of	State five steps	Th		k assessment may include:		Α			
3.1	risk assessment		•							
•			•		hazards, risks and control					
3			•	measures helps meet legal	requirements					
					pany policies or procedures					
			•	other	barry policiou or procedu. 55					
					Met√ Not N					
1.1	Identify the hazards and risks associated with the working area and the	sks associated with the orking area and the oposed work associated risks with the working area			thing with the potential to caumight be harmed and how),					
4	proposed work			the work area						
1		Three hazards and the associated risks with the	•	the work to be do	one					
		proposed work			Met ✓ Not N	/let X				$\Box$
	Explain control measures	Two control measures for	C	andidate evnlained	control measures for hazard					H
4.1	to be implemented relevant to the working area and	working area	ide	entified in section 1.	.1:	5				
4	proposed work	<b>Two</b> control measures for proposed work		pical control measu	ıres may include:		$\lfloor   \rfloor$	_	_	_
•		proposed work	•	warning signs						
			•	PPE	ad aparatara					
				adequately traine industry good pro	•					
				other	actice					
									_	
					Met ✓ Not N	/let X				
3.2	Outline the emergency planning procedures	Candidate to state <b>five</b> emergency procedures		mergency planning a ould include:	and procedures for the work	area				
_	relevant to the work area		•	location name						
3			•	grid reference						
			•	designated meet						
				site location nam						
				nearest access p street name/distr						
				type of access	iict					
			•	suitable helicopt	er landing area					
			•	phone number o	•					
			•		ne number of nearest accide	ent				
				and emergency	•					
			•	works manager						
			•	•	t number/mobile number					
			•	other					Ш	

Met ✓ Not Met X

CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE	ASSESSMENT ACTIVITIES	C.	AND B	IDA <sup>*</sup>	ΓE
3.3	Summarise current health and safety legislation and	Two key points from each:	Outline key points from the legislation and industry good practice listed below:	,		_	
3	industry good practice	Health and Safety at Work etc Act 1974 (HSWA)	Health and Safety at Work Act (HSWA):  general duties for employers and employees  maintain safe places of work  other				
		Provision and Use of Work Equipment Regulations 1998 (PUWER), Regulation 9	Provision and Use of Work Equipment Regulations (PUWER):  operators adequately trained				
			<ul><li>equipment fit for purpose</li><li>other</li></ul>				
		Four key points from Lifting Operations and Lifting Equipment Regulations 1998	The main requirements of the LOLER regulations relating to the inspection of climbing equipment include:				
		(LOLER)	equipment should be subject to a pre use check by the climber				
			a written recorded interim inspection should be kept for equipment subject to high levels of wear such as friction cord or possibly ropes				
			a thorough examination should be carried out at least every 6 months     equipment should be marked for unique				
			identification  other				
		Three key points: Work at Height Regulations 2005	The main requirements of the Work at Height Regulations relating to arboricultural operations include:				
			all work at height is properly planned and organised				
			those involved with work at height are competent     the risks from work at height are assessed and appropriate work equipment is selected and used				
			equipment for work at height is properly inspected				
		One purpose of each: Arboriculture and Forestry Advisory Group (AFAG) Guides	Arboriculture Forestry Advisory Group (AFAG) information  • providers of industrial good practice  • other				
		BS3998:2010	BS3998 2010 Recommendation for tree work				
		Recommendation for tree work	provides guidance to all parties who's activities may affect trees     other				
			Met ✓ Not Met X				
4.2 4	Explain how to evaluate the tree for hazards and the implications of the hazards when identified	State <b>one</b> method of evaluation	Tree evaluation may be carried out via:  visual observation  hazard evaluation report  other				
		State three implications	Implications of the hazards when identified may include:				
			<ul><li>physical injury</li><li>damage to equipment</li></ul>				
			<ul> <li>damage to retained part of the tree</li> <li>disruption to wildlife</li> <li>other</li> </ul>				
			Met ✓ Not Met X				

CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE	ASSESSMENT ACTIVITIES	C.	AND B	IDA <sup>*</sup>	TE
2.1	Perform a hazard evaluation of the tree and	Candidate to state <b>six</b> hazards that may be present	Hazards that may be encountered may include:				
2.1	Work At Height	mazardo triat may bo procent	<ul><li>equipment in tree</li><li>hanging limbs/partially cut sections</li></ul>				
2	assessment prior to carrying out the work		casualty in the tree				
			evidence of cavities, decay or decay fungi				
			deadwood and broken branches     dead or flaking bark				
			<ul><li>dead or flaking bark</li><li>v shaped unions</li></ul>				
			• cracks				
			nesting insects				
			the presence of power lines or telephone wires				
			<ul> <li>targets and obstacles underneath the tree</li> <li>other</li> </ul>				
		State <b>all</b>	Work at Height Assessment should consider:				
		Ciato un	avoid working from height where possible (e.g.				
			using pole pruners)				
			<ul> <li>use work equipment or other measures to prevent falls (e.g. MEWP use)</li> </ul>				
			use work equipment or other measures to				
			minimise the distance and consequence of the fall (e.g. tree climbing)				
			Met ✓ Not Met X				
	Explain how tree species,	State <b>one</b> of each	Species, condition of tree and time of year may affect				
4.4	their condition and the time of year affect the work		the work owing to:				
4	or your amost the work		Species:  • brittle timber characteristics				
7			<ul><li>brittle timber characteristics</li><li>likely responses to pruning</li></ul>				
			• other				
			Condition:				
			vigour of the tree				
			dead, diseased or dying trees may prevent work				
			• other				
			Time of year:				
			some species 'bleed' heavily if pruned at certain				
			<ul><li>times of year</li><li>promotion of subsequent disease or infection</li></ul>				
			• other				
			Met ✓ Not Met X				
	Define pruning prescriptive	State all	Crown lifting:				
4.7	terms and measurements		is the removal of the lowest branches				
			reasons include to provide more light, enhance views, facilitate access for vehicles and				
4			pedestrians. Local planning authorities may				
			provide statutory height clearances for road ways				
			Crown thinning:				
			<ul> <li>the removal of a small proportion of secondary and small live branches throughout the crown.</li> </ul>				
			Often specified as a percentage, generally not				
			exceeding 30%				
			Crown reduction:				
			<ul> <li>used to reduce the size of a crown of a tree whilst maintaining its natural shape as far as practicable.</li> </ul>				
			The ends of branches are removed to internal lateral branches or stems, observing the rule of				
			thirds and not using topping cuts				
			Pollarding:				
			cutting of a tree so as to encourage formation of				
			numerous branches arising from the same height on a stem or principal branches				
			Met ✓ Not Met X				
			MIGE . MOLIMIELY	Щ	Ш	Ш	Ш_

CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE	ASSESSMENT ACTIVITIES		AND		
NUMBER	Explain the additional	Two precautions	Additional safeguards during re-pollarding of trees	Α	В	С	D
4.6 4	precautions that may be taken during re-pollarding of trees		could include:  use of supplementary anchor points owing to the possibility of weak branch unions				
			the use of alternative cutting techniques owing to potentially excessive tension and compression in timber i.e. v cut, holding cut				
			use of false anchor to facilitate movement     other				
			Met ✓ Not Met X				
4.0	Explain <b>measurement</b> terms and how to apply	State all	Measurement terms may include:				
4.8 4	them		the specification provided must be clear and accurate to ensure the desired result is achieved  To suid orabicultura accurate to accurate the control of the control o				
			To avoid ambiguity for crown reduction operations, the specified end result can be stated either:  as the tree-height and branch-spread which are to				
			remain				
			the average equivalent in branch length (in metres)				
			<ul> <li>crown thinning operations are normally specified as a percentage of material to be removed from throughout the entire crown</li> </ul>				
			Met ✓ Not Met X				
4.0	Explain the basic principles	State three	Basic principles of target pruning are to:				
4.9	of target pruning and the effect on tree pruning		simulate the trees natural ability to shed branches     leaves the branch bark ridge and collar intact				
4	operations		allow complete doughnut of callus wood is able to				
			form				
			allow protection boundary allowed to develop inside collar     cuts carried out in accordance with industry				
			standard and job specification				
			• other				
			Met ✓ Not Met X				
4.3	Explain the importance of accurate and appropriate cuts when removing	Two reasons	Importance of accurate and appropriate cuts when removing branch material may include:  control				
4	branch material		preventing splitting				
			preventing tearing     is a position RS2000 are met.				
			<ul><li>job specification BS3998 are met</li><li>other</li></ul>				
			Met ✓ Not Met X				
4.5	Evaluate the advantages and disadvantages of	One advantage and One disadvantage of each tool	Pruning (lopping) head:				
4.5	pruning tools to the selected pruning	Minimum of <b>Two</b> tools to be	advantage - light work can be carried out from the ground				
4	operations	selected	disadvantage - may be hard to maintain correct angle for correct target pruning  Pruning cov.  Pruning cov.				
			Pruning saw:  advantage - accurate and neater cuts acheived				
			disadvantage - can be hard work in large branches				
			Secatuers:			_	
			advantage - make a cleaner neater cut				
			<ul> <li>disadvantage - can get stuck into larger branches</li> <li>Hand loppers:</li> </ul>				
			advantage - can be designed with mechanical advantage for operator ease				
Continued			disadvantage – 2-handed operation				

CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE	ASSESSMENT ACTIVITIES	C.	AND B	IDA	TE D
Cont			Chainsaw:	1	_		
4.5			advantage - can be used on much larger diameter timber     disadvantage - inherent risk to operator				
4			Met ✓ Not Met X				
4	Explain the importance of	State <b>three</b> reasons	The importance of maintaining tools, equipment and				
3.4	maintaining tools, equipment and personal		PPE may include:  operator safety				
3	protective equipment		ensuring equipment works when required				
			reduces downtime     reduces emissions and possible environmental				
			damage  other				
			- outer				
			Met ✓ Not Met X				
2.3	Inspect all access equipment to ensure it is	Three checks per item	Candidate to inspect all equipment to be used and comment on the condition/checks made:				
2	safe and fit for use under manufacturers instructions		<ul> <li>ropes and cord for friction hitches should be checked for cuts, frays, correct end terminations,</li> </ul>				
	and relevant legislation		burns and glazing, contamination and excessive wear along with the candidate having the ability to				
			tie, dress and set knots used  karabiners should be checked for visible damage.				
			corrosion and to ensure that the locking mechanism works correctly				
			harnesses should be checked for damage to				
			stitching, security of the anchor point(s), cuts and frays and general wear				
			Met ✓ Not Met X				
1.3	Use and maintain tools, equipment and personal	Assessor to observe	Learner to select PPE and safety clothing for tree climbing as per AFAG and include:				
1.3	protective equipment (PPE)		helmet with chinstrap, ear and eye protection				
1	,		personal first aid kit     knife with retractable blade or handsaw				
			chainsaw foot protection with good grip and ankle support (if appropriate)				
			non- snag clothing				
			chainsaw leg protection (if appropriate)     Chainsaw (if appropriate):				
			appropriate size				
			<ul><li>suitable for the task</li><li>appropriate safety features</li></ul>				
			appropriate salety leatures     appropriate chainsaw lanyard used				
			Learner to select appropriate climbing equipment for tree climbing to include:				
			harness as per AFAG guide     rope systems of suitable diameter, length and				
			strength for the climbing line and for the friction hitches				
			triple action auto-locking karabiners for main attachments			_	
			adjustable straps or a system using both ends of				
			the rope Hand tools:				
			appropriate size     suitable for the task				
			guarded as appropriate				
			• sharp				
	Work in a way which	Assessor to observe	Met ✓ Not Met X	Ш	Ш	$\square$	Ш
1.2	maintains health and safety and is consistent		all activities must be completed in a way which protects the operator and those around him or her				
1	with relevant legislation and industry good practice		Met ✓ Not Met X				
•	and industry good practice						Щ

CRITERIA	ASSESSMENT	ASSESSOR	ASSESSMENT	C	CANDII		ΓΕ
NUMBER	CRITERIA	GUIDANCE	ACTIVITIES	Α	В	С	D
1.4	Carry out work to minimise environmental damage	Assessor to observe	<ul> <li>it is ensured that any possible environmental damage is minimised at all times during on site operations</li> </ul>				
1			Met ✓ Not Met X				
2.2	Use access and positioning methods	Assessor to observe	Candidate establishes their initial anchor point taking into account:				
	appropriate to the assessed risk(s)		suitability of the technique used				
2	(e)		accuracy of the throw				
			rope organisation				
			safety and position of the anchor point				
			<ul> <li>testing of the anchor point by thorough loading prior to ascent</li> </ul>				
			Candidate accesses and climbs tree taking into account:				
			efficient use of access technique chosen				
			candidate is attached to the tree at all times				
			appropriate selection of anchor points				
			appropriate route taken up the tree				
			<ul> <li>correct use of adjustable strop or alternative</li> </ul>				
			system when changing anchor points				
			<ul> <li>loading new anchor points before previous anchor point is removed</li> </ul>				
			<ul> <li>slack within system less than 500mm</li> </ul>				
			learner does not climb more than 250mm above				
			anchor point				
			correct use of equipment				
			Final anchor point selected taking into consideration:				
			size, strength and structure				
			<ul> <li>position in relation to the parts of the tree to be accessed</li> </ul>				
			use of equipment to minimise damage to the tree				
			if appropriate				
			Met ✓ Not Met X	Ш		Ш	Ш
	Select an appropriate	Assessor to observe	Final anchor point selected taking into consideration:				
2.4	anchor point if climbing or appropriate position of		size, strength and structure				
•	Mobile Elevated Work		position in relation to the parts of the tree to be	_	_	_	_
2	Platform (MEWP)		accessed			Ш	
	according to the work situation		<ul> <li>use of equipment to minimise damage to the tree if appropriate</li> </ul>				
			MEWP (if applicable):				
			movement around the crown area				
			ground conditions				
			clear of falling debris				
			aid efficiency				
			Met ✓ Not Met X				
2.6	Apply pruning specification		pruning specifications executed as per industry good practice and job specification				
			Met ✓ Not Met X				
2				Ľ			

CRITERIA NUMBER	ASSESSMENT CRITERIA	ASSESSOR GUIDANCE	ASSESSMENT ACTIVITIES	C.	AND B	IDA <sup>-</sup>	TE D
2.7	Carry out pruning operations in accordance with industry good practice	A medium sized open grown tree with a minimum anchor height of 12 metres:					
2		One x crown reduction, minimum half, maximum	Crown Reduction Pruning:				
		whole	The tree is reduced to the agreed specification, taking into account:				
			sequence of operations				
			route within the tree crown				
			communication and control of ground staff				
			<ul> <li>selection of branches for removal</li> <li>selection of appropriate pruning tool for branch</li> </ul>				
			removal  removal of dead, dying and diseased material as				
			appropriate				
			branches reduced to suitable growth points				
			height and/ or spread of the tree is reduced to leave a balanced crown				
			appropriate structure for future crown development				
			the extent of the work is not to exceed the tolerance of the tree species				
			accuracy of cuts				
			position of final pruning cuts				
			avoidance of damage to the retained parts of the tree				
			avoidance of damage to surrounding features				
			no cut branches left hanging in the tree				
			overall quality of reduction to pruning/job				
			<ul> <li>specification</li> <li>crown density reduced within the tolerance of the</li> </ul>				
			tree species				
		One x Crown thinning,	Crown Thinning:				
		minimum half maximum whole	The tree is thinned to the agreed specification, taking into account:				
			sequence of operations				
			• route within the tree crown				
			communication and control of ground staff     selection of branches for removal				
			selection of branches for removal     selection of appropriate pruning tool for branch				
			removal				
			<ul> <li>removal of dead, dying, diseased, crossing and rubbing material as appropriate</li> </ul>				
			uniform removal of branches				
			<ul> <li>crown density reduced within the tolerance of the tree species</li> </ul>				
			general overall size and shape of the tree retained				
			accuracy of cuts				
			<ul> <li>position of final pruning cuts</li> <li>avoidance of damage to the retained parts of the</li> </ul>				
			tree				
			<ul> <li>avoidance of damage to surrounding features</li> <li>no cut branches left hanging in the tree</li> </ul>				
			Met ✓ Not Met X				
	Reassess the anchor point	Assessor to observe	Candidate to reassess anchor point for climbing				F
2.5	for climbing or positioning of MEWP throughout the		throughout completion of works ensuring:				
2	works		appropriate selection of anchor points for accessing areas of canopy				
_			safety and security of anchor points maintained				
			Candidate to reassess anchor point for positioning of MEWP throughout completion of works ensuring:				
			stability of MEWP checked				
			position to enable further work				
			Met ✓ Not Met X				

CRITERIA	ASSESSMENT	ASSESSOR	ASSESSMENT	C	AND	IDA	ГΕ
NUMBER	CRITERIA	GUIDANCE	ACTIVITIES	Α	В	С	D
	Check all arisings are	Assessor to observe	Drop zone used ensuring:				
2.8	dropped/thrown into planned drop zone without		no hangers left within tree				
	compromising the access		access equipment is not compromised/damaged				
2	equipment or damage to		infrastructure is not damaged				
	the infrastructure		Met ✓ Not Met X				
4.5	Dispose of waste safely in line with legislation	Assessor to observe	all waste produced from activities is disposed of in				
1.5	lille with legislation		line with legislation, good practice and/or site requirements				
4			'				
1			Met ✓ Not Met X				
3.6	Explain the correct and appropriate methods for	Two methods	Disposal of waste from workplace activities may include:				
3.0	disposing of waste		use of designated waste/recycle bins				Ιп
3			empty containers removed from site e.g. oil				
3			litter taken home with operators				
			• other			ΙΠ	
			Met ✓ Not Met X				
	Describe the potential	One damage	Potential environmental damage may include:				
3.5	environmental damage that could occur and how to		damage to retained trees				
_	respond appropriately		contamination of watercourses				
3	respense appropriately		wildlife disturbance				
		One response	Appropriate responses may include:				
			containment and clearance of spills				
			good housekeeping, use of spill mats etc				
			work sequence chosen to minimise subsequent damage to retained trees				
			wildlife assessments completed prior to work				
			Met ✓ Not Met X				

Summary of Assessme	it (The A	Assessor is to	complete the	e following as	appropriate)
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Candidate A	Candidate has met all of the assessment criteria	Tick ✓	The Candidate has not met all of the assessment criteria; (state reason(s))	Tick ✓		
	Signed:	Date:				
Candidate B	Candidate has met all of the assessment criteria	Tick	The Candidate has not met all of the assessment criteria; (state reason(s))	Tick		
	Signed:	Date:				
Candidate C	Candidate has met all of the assessment criteria	Tick ✓	The Candidate has not met all of the assessment criteria; (state reason(s))	Tick ✓		
	Signed:	Date:				
Candidate D	Candidate has met all of the assessment criteria	Tick	The Candidate has not met all of the assessment criteria; (state reason(s))	Tick		
	Signed:	Date:				
Foi	r use by Internal Verifier ONLY if the assessment process was i ternal Verifier to complete ONE of the boxes below)	internally	/ verified			
	I observed an assessment process taking place and I am satisfied that the assessment was conducted in line with the qualification requirements and that the judgement of the Assessor was appropriate.					
I ob and	oserved an assessment process taking place and I am satisfied tr If that the judgement of the Assessor was appropriate.					
and	pserved an assessment process taking place and I am satisfied tr d that the judgement of the Assessor was appropriate.  Discretely a served an assessment process taking place. The following were		s areas of concern.			